

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

----- X  
: **Chapter 11**  
In re :  
: **Case No. 08-13555 (SCC)**  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, :  
: **(Jointly Administered)**  
Debtors. :  
: **Ref. Docket Nos. 60064,**  
: **60065, 60067, 60069, 60072,**  
: **60073, 60076, 60078, 60080,**  
: **60082, 60084, 60087, 60088,**  
: **60091, 60093, 60095, 60098,**  
: **60099, 60101, 60104, 60106,**  
: **60107, 60109, 60111, 60113,**  
: **60115, 60117, 60119, 60122,**  
: **60125, 60131, 60133, 600137,**  
: **60138, 60140, 60142, 60145**  
----- X **and 60147**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

WING CHAN, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC<sup>1</sup>, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On December 6, 2019, I caused to be served the “Notice of Defective Transfer,” dated November 8, 2019, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

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<sup>1</sup> Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Wing Chan  
Wing Chan

Sworn to before me this  
9<sup>th</sup> day of December, 2019

/s/ Diane M. Streany

Notary Public, State of New York  
No. 01ST5003825  
Qualified in Westchester County  
Commission Expires December 2, 2022

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE OF DEFECTIVE TRANSFER**

BAR(23) MAILID \*\*\* 000159745522 \*\*\*

LBH DEFTRFNTC (ADDRESS2, ADRKEYID3) 32598



EMPYREAN LHMN SPV, LP  
ANN BATCHELOR PACE  
C/O CARTER LEDYARD & MILBURN LLP  
2 WALL STREET  
NEW YORK, NY 10005

EMPYREAN LHMN SPV, LP  
TRANSFEROR: DEUTSCHE BANK AG, LONDON  
BRANCH  
ATTN: STERLING HATHAWAY  
10250 CONSTELLATION BLVD., STE 2950  
LOS ANGELES, CA 90067

PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP  
ATTN: ELANIT SNOW  
1285 AVENUE OF THE AMERICAS  
NEW YORK, NY 10019

**Your transfer of claim # 20676-01 is defective for the reason(s) checked below:**

Other CLAIM WAS ALREADY SOLD DURING SCA AUCTION  
Other CLAIM WAS ALREADY SOLD DURING SCA AUCTION

Docket Number 60117

Date: 11/08/2019

/s/ Betina Wheelon

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

## **EXHIBIT B**

Claim Name	Address Information
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
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EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANNE BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL ST, NEW YORK, NY 10005
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EMPYREAN LHMN SPV, LP	C/O CARTER LEDYARD & MILBURN LLP, ATTN: ANN B. PACE, 2 WALL STREET, NEW YORK, NY 10005
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Claim Name	Address Information
EMPYREAN LHMN SPV, LP	CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
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PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
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### **Address Information**

[illegible]

Claim Name	Address Information
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
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<b>Total Creditor Count 114</b>
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